Adult Social Care Risk Assessment:
facilitated self-assessment workshop and action planning

1. Leadership and governance
2. Performance and outcomes (including safeguarding)
3. Commissioning and quality
4. National priorities and partnerships
5. Resource and workforce management
6. Culture and challenge
The offer
Alongside our updated adult social care risk awareness self-assessment tool, we are offering system leaders in health and social care a free workshop of up to one and a half days to undertake the self-assessment exercise with support from skilled and experienced facilitators in a confidential and peer-supported environment. The workshop offers a structured, 360 degree look at risk in adult social care and is open to senior leaders in councils (and their system partners where this would be helpful.) The offer includes brokering additional support where a more in-depth look at some of the risk domains would be helpful and could include finance and resources, workforce, safeguarding, commissioning and markets and reducing delayed transfers of care. We can offer this opportunity at individual council, sub regional or regional level and it will be tailored to specific requirements eg where local variations of the self-assessment tool have been developed.

Context
The LGA’s Care and Health Improvement Programme developed a new approach to risk in adult social care during 2015, underpinned by the introduction and widespread adoption of a risk awareness self-assessment tool. Individual councils and regions have increasingly made use of this voluntary self-assessment tool, adapting it to meet local need where helpful, to identify and mitigate local and regional risks in adult social care. The tool has also been used by Directors of Adult Social Care to facilitate discussions with Chief Executives, Chief Finance Officer, Elected Members and other system leaders and to develop mitigation actions.

Building on positive feedback from the sector, the LGA commissioned a refresh of the Risk Tool in 2016. To complement this, we are now offering councils (and system partners where helpful) the option of an external, independently facilitated workshop to undertake the self-assessment along with local senior system leaders. Specialist support where needed from LGA Care and Health Improvement Advisers (CHIAS) and Principal Advisers is also on offer.

Benefits
- A tried, tested and trusted sector-led improvement approach to assessing risk in adult social care
- An updated Risk Awareness Tool which can be used flexibly to support self-assessment at local, sub regional and regional level depending on local circumstances
- Clear signposting and brokerage to other sector-led improvement tools and support offers where required, including tailored, specialist support to councils most at risk of financial failure
- Supporting Directors of Adult Social Care experiencing or anticipating financial and/or other risk to develop and communicate a coherent narrative about adult social care system-wide risks
Directors, Chief Executives, Chief Finance Officers and Elected members can define and understand their financial and/or other risks and communicate these to other system leaders.

What’s on offer?
- A free full-day facilitated workshop on site plus a half day action planning/review follow-up meeting.
- Highly skilled and experienced facilitators with credibility and senior level experience in local government, health and adult social care.
- Pre-workshop discussion with the LGA, local CHIA and system leader(s) sponsoring the workshop to ensure the workshop is tailored to local need.
- An active, engaging peer led conversation to prompt open and honest group discussion in a safe and confidential environment.
- An agreed, locally owned action plan including brokerage for other support tools and offers from the LGA (see infographic below).
- Confidential summary of learning points and action plan from the workshop.
- Follow up action planning/review session (if required).

What we ask
- Commitment from senior system leaders to participate in the workshop and support implementation of action plans.
- Completion of agreed pre-event tasks.
- Agreeing the workshop date, venue and refreshments and a contact to liaise with the LGA.
- Willingness to share learning and experience about both the process and outcomes with the LGA in an anonymised form.

Contact
For further information and to discuss how to plan a session to meet your needs, please contact your CHIA or liz.greer@local.gov.uk 07717720729.

Liz Greer
Adviser, Care and Health Improvement Programme
Local Government Association
As at May 2017.
Key sector-led improvement resources

1. Leadership and governance
   - Making safeguarding personal-guide and toolkit
   - LGA corporate peer challenge programme

2. Performance and outcomes (including safeguarding)
   - Commissioning for better outcomes
   - Other market shaping, sustainability and commissioning toolkits

3. Commissioning and quality
   - High Impact Change Model for Managing Transfers of Care

4. National priorities and partnerships
   - Resources to support Transforming Care for people with learning disabilities
   - LGA integration self-assessment tool and workshops

5. Resources and workforce management
   - ADASS/CPSA social care risk tool
   - Resources in adult social care self-assessment toolkit

6. Culture and challenge
   - LGA peer challenge programme on specific issues

Adult social care risk self-assessment and links to other key sector-led improvement tools and offers