

Local Authority Self-Assessment: 0-5 Public Health Commissioning Transfer

Results tables for East Midlands

April 2015



Local Authority Self-Assessment: 0-5 Public Health Commissioning Transfer

Introduction

On 1st October 2015 the responsibility for commissioning public health services for 0-5 year olds will move from NHS England to local government. This will mark the final part of the public health transfer which saw wider responsibilities successfully transfer to local government in 2013.

The Local Government Association (LGA) is supporting councils to prepare for the transfer. It recently wrote to all upper tier local authorities inviting them to complete an online self-assessment exercise. Its purpose was to develop a collective picture of progress, highlight outstanding barriers that require national resolution, identify what support is needed by local partners and identify examples of good practice.

Nine Regional Oversight Groups (ROG) were set up by the LGA to provide support and local oversight of the transfer. Each group is led by the local government lead for that region and includes representation from local authorities, NHS England and Public Health England local teams and the LGA. The ROGs played a key role in the self-assessment exercise by helping to facilitate and support local discussions, providing support and agreeing an overall assessment of their region's progress. This report summarises the results for the East Midlands and includes additional commentary from the Regional Oversight Group.

Results tables for East Midlands

Respondents were asked how confident they were that the arrangements for managing the transition in their locality were clear and achievable. All councils were either very or fairly confident that this was the case.

Table 1: How confident are you that the arrangements for managing the transition in your locality are clear and achievable?		
	Number	Per cent
Very or fairly confident	9	100
Very confident	5	56
Fairly confident	4	44
Not very confident	0	0
Not at all confident	0	0
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands): 9

Respondents were asked to what extent they were currently working with a) NHS England (formerly known as Area Teams) and b) their provider(s) to ensure commissioning arrangements for the 0-5 Healthy Child Programme will be fully operational in their council from 1st October 2015. All 10 responses given said they were working to a great or moderate extent with NHS England and nine said they were working to a great or moderate extent with providers.

Table 2: To what extent are you currently working with a) NHS England (formerly known as Area Teams) and b) your provider(s) to ensure commissioning arrangements for the 0-5 Healthy Child Programme will be fully operational in your council from 1st October 2015

	a) NHS England		b) Your provider(s)	
	Number	Per cent	Number	Per cent
To a great or moderate extent	10	100	9	90
To a great extent	8	80	8	80
To a moderate extent	2	20	1	10
To a small extent	0	0	1	10
Not at all	0	0	0	0
Don't know	0	0	0	0

Base (all respondents in East Midlands): 10¹

Respondents were asked to what extent a) NHS England and b) their provider(s) were working with them to produce and share legacy and handover documents. Eight out of the 10 responses said they were working to a great or moderate extent with NHS England and seven said they were working to a great or moderate extent with providers.

Table 3: To what extent are a) NHS England and b) your provider(s) working with you to produce and share legacy handover documents?

	a) NHS England		b) Your provider(s)	
	Number	Per cent	Number	Per cent
To a great or moderate extent	8	80	7	70
To a great extent	5	50	4	40
To a moderate extent	3	30	3	30
To a small extent	2	20	2	20
Not at all	0	0	1	10
Don't know	0	0	0	0

Base (all respondents in East Midlands): 10²

¹ Please note that for this question there is a base of 10 rather than nine. This is because one respondent council had taken over commissioning responsibility from two NHS England local area teams, meaning they had to make two separate responses for this question.

² Please note that for this question there is a base of 10 rather than nine. This is because one respondent council had taken over commissioning responsibility from two NHS England local area teams, meaning they had to make two separate responses for this question.

Respondents were asked how confident they were that the provider(s) from which they will commission 0-5 public health services will be able to discharge their functions to safeguard and promote the welfare of children. All were either very or fairly confident.

Table 4: How confident are you that the provider(s) from which you will commission 0-5 public health services will be able to discharge their functions to safeguard and promote the welfare of children?

	Number	Per cent
Very or fairly confident	9	100
Very confident	6	67
Fairly confident	3	33
Not very confident	0	0
Not at all confident	0	0
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands):9

Respondents were asked how confident they were that their council has all the named individuals with responsibility for safeguarding children, including designated professionals and senior leads in place at Local Safeguarding Children Board level. All nine councils were either very or fairly confident.

Table 5: How confident are you that your council has all the named individuals with responsibility for safeguarding children, including designated professionals and senior leads in place at Local Safeguarding Children Board level?

	Number	Per cent
Very or fairly confident	9	100
Very confident	6	67
Fairly confident	3	33
Not very confident	0	0
Not at all confident	0	0
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands): 9

Respondents were asked if they had a **funding** agreement in place with their neighbouring council(s) which covers their resident population who are registered out of area. None of the nine councils had a funding agreement in place, but three said that this was in progress.

Table 6: Do you have a funding agreement in place with your neighbouring authority(ies) which covers your resident population who are registered out of area?

	Number	Per cent
Yes	0	0
No – but this is in progress	3	33
No – this is not currently in progress	6	67
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands): 9

Respondents were asked if they had a **performance monitoring** agreement in place with their neighbouring council(s) which covers their resident population who are registered out of area. None of the nine councils had a performance monitoring agreement in place, but four said that this was in progress.

The Regional Oversight Group (ROG) identified this as a significant issue. In response the region is working with NHS England to work through issues arising from the move from commissioning from a registered to a resident population basis. The ROG highlighted that this appears to be an issue in other areas across the country, and it would be helpful to have a clear national steer to inform consistent approaches and timelines.

Table 7: Do you have a performance monitoring agreement in place with your neighbouring authority(ies) which covers your resident population who are registered out of area?

	Number	Per cent
Yes	0	0
No – but this is in progress	4	44
No – this is not currently in progress	5	56
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands): 9

Respondents were asked how confident they were that commissioning arrangements for the 0-5 Healthy Child Programme would be fully operational in their council from 1 October 2015. All nine councils said they were very or fairly confident that the arrangements would be fully operational.

Table 8: How confident are you that commissioning arrangements for the 0-5 Healthy Child Programme will be fully operational in your council from 1st October 2015?

	Number	Per cent
Very or fairly confident	9	100
Very confident	9	100
Fairly confident	0	0
Not very confident	0	0
Not at all confident	0	0
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands): 9

Respondents were asked how confident they were that their council has appropriate governance arrangements in place to oversee the transition. All nine councils said they were very or fairly confident that these arrangements were in place.

Table 9: How confident are you that your council has appropriate governance arrangements in place to oversee the transition?

	Number	Per cent
Very or fairly confident	9	100
Very confident	7	78
Fairly confident	2	22
Not very confident	0	0
Not at all confident	0	0
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands): 9

Respondents were asked if their Health and Wellbeing board had discussed the transfer. Five councils (55 per cent) said that the board had not discussed the transfer, but one said this was scheduled for a future meeting.

Table 10: Has your Health and Wellbeing Board discussed the transfer?		
	Number	Per cent
Yes	4	44
No – but this is scheduled for a future meeting	1	11
No – this discussion is not currently scheduled	4	44
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands): 9

Respondents were asked to indicate which, if any, people or groups they would share their self-assessment with. All nine councils said that they would share their self-assessment with Directors of public health, Directors of Children's Services and Lead members of children's services.

Table 11: Please indicate which, if any, of the following people and groups you will share this self-assessment with:		
	Number	Per cent
Director of public health	9	100
Director of children's services	9	100
Lead member children's services	9	100
Total	9	100
Portfolio holder for health	8	89
Relevant scrutiny chair	3	33
Health and wellbeing board	2	22
None of the above	0	0
Don't know	0	0

Note: percentages total to more than 100 per cent, since respondents could select more than one person or group.

Respondents were asked to what extent their council had worked with NHS England (previously called Area Teams), as part of commissioning discussions, to agree commissioning intentions for existing contract(s) that were transferring over to their council. All nine councils said that they had done so to a great or moderate extent.

Table 12: As part of commissioning discussions, to what extent has your council worked with NHS England (previously called Area Teams) to agree commissioning intentions for existing contract(s) that are transferring over to your council?		
	Number	Per cent
To a great or moderate extent	9	100
To a great extent	7	78
To a moderate extent	2	22
To a small extent	0	0
Not at all	0	0
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands):9

Respondents were asked to indicate which option for the transfer of contracts from NHS England to councils for contracts that expired at 31 March 2015 their council had taken. The highest number, 67 per cent (6 councils) stated that they would Novate contract(s) from NHS England to their council.

Table 13: Which of the following has your council opted to do?		
	Number	Per cent
Novate contract(s) from NHS England to your council	6	67
Put in place two similar but separate 6 month contract(s) with the provider. (This option includes one contract for April to September 2015 between NHS England and the provider and another for October 2015 to March 2016 between the local authority and the provider)	3	33
Not applicable	0	0
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands): 9

Respondents were asked if any of the NHS England contracts that required transferring to their council ran beyond 30 September 2015. Five councils (56 per cent) said that contracts ran beyond 30 September 2015.

Table 14: Do any of the NHS England contracts that require transferring to your council run beyond 30 September 2015?		
	Number	Per cent
Yes	5	56
No	4	44
Don't know	0	0
Total	9	100

Base: (all respondents in East Midlands): 9

Those respondents who specified that they did have contracts that ran beyond 30 September 2015, were then asked if their council intended in principle to accept novation of these contracts from NHS England to local authority control with effect from 1 October 2015. All five said that they intended to accept novation.

Table 15: Does your council intend, in principle, to accept novation of these contracts from NHS England to local authority control with effect from 1 October 2015?		
	Number	Per cent
Yes, we intend to accept novation	5	100
No, we don't intend to accept novation	0	0
Don't know	0	0
Total	5	100

Base (all respondents in East Midlands who had contracts that ran beyond 30 September 2015): 5

Respondents were asked if their council had communicated its intended approach to contract transition to the current children's 0-5 years public health service provider(s), and all said yes they had done so.

Table 16: Has your council communicated its intended approach to contract transition to the current children's 0-5 years public health service provider(s)?

	Number	Per cent
Yes	9	100
No – but plans for this are being developed	0	0
No- plans for this have not yet been developed	0	0
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands): 9

Respondents were asked if their council had put plans in place for minimum standardised a) data collection and b) reporting requirements that support the effective commissioning of children's 0-5 years public health services. Eight out of nine councils said that they had put plans in place for minimum standardised data collection. Eight out of nine councils had also put plans in place for reporting requirements that support the effective commissioning of children's 0-5 years public health services.

Table 17: Has your council put plans in place for minimum standardised a) data collection and b) reporting requirements that support the effective commissioning of children's 0-5 years public health services?

	a) data collection		b) reporting requirements	
	Number	Per cent	Number	Per cent
Yes	8	89	8	89
No – but plans are in development	1	11	1	11
No – plans for this have not yet been developed	0	0	0	0
Don't know	0	0	0	0
Total	9	100	9	100

Base (all respondents in East Midlands): 9

Respondents were asked how confident they were that the provider(s) from which their council will commission services are taking steps to:

- a) Improve their local management of child health information, making use of Child Health Information Systems (CHIS) and other IT systems where appropriate.
- b) Achieve compliance with the national Maternity and Children's Dataset in order to be able to submit a standard set of data to the Health and Social Care Information Centre from where national and local benchmarked reporting of Key Performance Indicators will flow in the longer term.

Sixty-seven per cent (six councils) were either very or fairly confident that the provider(s) are taking steps to improve their local management of child health information, making use of CHIS and other IT systems where appropriate. Seven of the nine councils were either very or fairly confident that the provider(s) are taking steps to achieve compliance.

Table 18: How confident are you that the provider(s) from which your council will commission services are taking steps to: a) Improve their local management of child health information systems (CHIS) and other IT systems where appropriate b) Achieve compliance with the national Maternity and Children's Dataset in order to be able to submit a standard set of data to the Health and Social Care Information Centre from where national and local benchmarked reporting of Key Performance Indicators will flow in the longer term?

	a) Improve CHIS and IT systems		b) Achieve compliance	
	Number	Per cent	Number	Per cent
Very or fairly confident	6	67	7	78
Very confident	1	11	1	11
Fairly confident	5	56	6	67
Not very confident	0	0	0	0
Not at all confident	0	0	0	0
Don't know	3	33	2	22
Total	9	100	9	100

Base (all respondents in East Midlands): 9

Respondents were asked how confident they were that existing contract(s) include the five universal checks and the related children's 0-5 year's public health outcomes. All nine councils were either very or fairly confident.

Table 19: How confident are you that existing contract(s) include the five mandated universal checks and the related children's 0-5 year's public health outcomes?

	Number	Per cent
Very or fairly confident	9	100
Very confident	8	89
Fairly confident	1	11
Not very confident	0	0
Not at all confident	0	0
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands): 9

Respondents were asked how confident they were that baseline data about their resident population for the five mandated universal checks was being shared with them prior to 1 October 2015, against which council performance could be measured in the future. Eight out of the nine councils (eight councils) were either very or fairly confident.

Table 20: How confident are you that baseline data about your resident population for the five mandated universal checks is being shared with you prior to 1 October 2015, against which council performance can be measured in the future?

	Number	Per cent
Very or fairly confident	8	89
Very confident	3	33
Fairly confident	5	56
Not very confident	1	11
Not at all confident	0	0
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands): 9

Respondents were asked how they would rate the usefulness of the information that they have received about the transfer. All nine councils of respondents rated the information that they had received as very or fairly useful.

Table 21: How would you rate the usefulness of the information you have received about the transfer?

	Number	Per cent
Very or fairly useful	9	100
Very useful	2	22
Fairly useful	7	78
Not very useful	0	0
Not at all useful	0	0
Don't know	0	0
Total	9	100

Base (all respondents in East Midlands): 9

Respondents were asked to identify which communication routes they normally used to receive information and which they found useful. All nine councils normally received information through LGA bulletins, however most also normally received information through the regional oversight groups, the LGA website and the Department of Health website (eight respondents, seven respondents and seven respondents, respectively). The communication routes found useful by the highest number of councils (all of them) were the LGA bulletins and the Department of Health website.

Table 22: Which of the following communication routes do you normally a) receive information through b) find useful?

	Receive information through		Find useful?	
	Number	Per cent	Number	Per cent
LGA bulletins	9	100	9	100
Total	9	100	9	100
Regional oversight groups	8	89	8	89
Bulletins from the professional associations	8	89	8	89
LGA website	7	78	7	78
Department of Health website	7	78	9	100
None of the above	0	0	0	0
Don't know	0	0	0	0

Note: percentages total to more than 100 per cent, since respondents could select more than one communication route.

Local Government Association

Local Government House
Smith Square
London SW1P 3HZ

Telephone 020 7664 3000

Fax 020 7664 3030

Email info@local.gov.uk

www.local.gov.uk

© Local Government Association, April 2015

For a copy in Braille, larger print or audio,
please contact us on 020 7664 3000.
We consider requests on an individual basis.