

# Local Authority Self-Assessment: 0-5 Public Health Commissioning Transfer

Results tables for Yorkshire and Humber

April 2015



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## Introduction

On 1st October 2015 the responsibility for commissioning public health services for 0-5 year olds will move from NHS England to local government. This will mark the final part of the public health transfer which saw wider responsibilities successfully transfer to local government in 2013.

The Local Government Association (LGA) is supporting councils to prepare for the transfer. It recently wrote to all upper tier local authorities inviting them to complete an online self-assessment exercise. Its purpose was to develop a collective picture of progress, highlight outstanding barriers that require national resolution, identify what support is needed by local partners and identify examples of good practice.

Nine Regional Oversight Groups (ROG) were set up by the LGA to provide support and local oversight of the transfer. Each group is led by the local government lead for that region and includes representation from local authorities, NHS England and Public Health England local teams and the LGA. The ROGs played a key role in the self-assessment exercise by helping to facilitate and support local discussions, providing support and agreeing an overall assessment of their region's progress. This report summarises the results for the Yorkshire and Humber and includes additional commentary from the Regional Oversight Group.

## Results tables for Yorkshire and Humber

Respondents were asked how confident they were that the arrangements for managing the transition in their locality were clear and achievable. All councils were either very or fairly confident that this was the case. The Regional Oversight Group (ROG) noted that there were no significant issues in the region and where issues were raised they were being dealt with locally.

**Table 1: How confident are you that the arrangements for managing the transition in your locality are clear and achievable?**

	Number	Per cent
<b>Very or fairly confident</b>	15	100
Very confident	10	67
Fairly confident	5	33
Not very confident	0	0
Not at all confident	0	0
Don't know	0	0
Total	15	100

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked to what extent they were currently working with a) NHS England (formerly known as Area Teams) and b) their provider(s) to ensure commissioning arrangements for the 0-5 Healthy Child Programme will be fully operational in their council from 1st October 2015. All councils were working to a great or moderate extent with NHS England and all councils were working to a great or moderate extent with providers.

**Table 2: To what extent are you currently working with a) NHS England (formerly known as Area Teams) and b) your provider(s) to ensure commissioning arrangements for the 0-5 Healthy Child Programme will be fully operational in your council from 1<sup>st</sup> October 2015**

	a) NHS England		b) Your provider(s)	
	Number	Per cent	Number	Per cent
<b>To a great or moderate extent</b>	15	100	15	100
To a great extent	11	73	12	80
To a moderate extent	4	27	3	20
To a small extent	0	0	0	0
Not at all	0	0	0	0
Don't know	0	0	0	0

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked to what extent a) NHS England and b) their provider(s) were working with them to produce and share legacy and handover documents. Eighty per cent (12 councils) were working to a great or moderate extent with NHS England and seventy-three per cent (11 councils) were working to a great or moderate extent with providers.

**Table 3: To what extent are a) NHS England and b) your provider(s) working with you to produce and share legacy handover documents?**

	a) NHS England		b) Your provider(s)	
	Number	Per cent	Number	Per cent
<b>To a great or moderate extent</b>	12	80	11	73
To a great extent	5	33	4	27
To a moderate extent	7	47	7	47
To a small extent	2	13	2	13
Not at all	0	0	0	0
Don't know	1	7	2	13 <sup>1</sup>

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked how confident they were that the provider(s) from which they will commission 0-5 public health services will be able to discharge their functions to safeguard and promote the welfare of children. All councils were either very or fairly confident.

**Table 4: How confident are you that the provider(s) from which you will commission 0-5 public health services will be able to discharge their functions to safeguard and promote the welfare of children?**

	Number	Per cent
<b>Very or fairly confident</b>	15	100
Very confident	10	67
Fairly confident	5	33
Not very confident	0	0
Not at all confident	0	0
Don't know	0	0
Total	15	100

Base (all respondents in Yorkshire and Humber): 15

<sup>1</sup> Whilst the individual answer options displayed in Table 1 appear to sum to 101 per cent, this is due to the fact the figures in the table have been rounded to the nearest whole number. Please note that this may also occur in other parts of the report.

Respondents were asked how confident they were that their council has all the named individuals with responsibility for safeguarding children, including designated professionals and senior leads in place at Local Safeguarding Children Board level. All councils were either very or fairly confident.

**Table 5: How confident are you that your council has all the named individuals with responsibility for safeguarding children, including designated professionals and senior leads in place at Local Safeguarding Children Board level?**

	Number	Per cent
<b>Very or fairly confident</b>	15	100
Very confident	14	93
Fairly confident	1	7
Not very confident	0	0
Not at all confident	0	0
Don't know	0	0
Total	15	100

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked if they had a **funding** agreement in place with their neighbouring councils which covers their resident population who are registered out of area. One of the 15 councils of the said they did have a funding agreement in place, but of the remaining councils, nine said this was in progress.

The ROG noted that out of area registered patients is an issue but is being addressed by the region's Directors of Public Health who are developing a Memorandum of Understanding (MoU).

**Table 6: Do you have a funding agreement in place with your neighbouring authority(ies) which covers your resident population who are registered out of area?**

	Number	Per cent
Yes	1	7
No – but this is in progress	9	60
No – this is not currently in progress	5	33
Don't know	0	0
Total	15	100

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked if they had a **performance monitoring** agreement in place with their neighbouring local councils which covers their resident population who are registered out of area. One of the 15 councils said they did have a performance monitoring agreement in place, but four (27 per cent) said this was in progress).

**Table 7: Do you have a performance monitoring agreement in place with your neighbouring authority(ies) which covers your resident population who are registered out of area?**

	Number	Per cent
Yes	1	7
No – but this is in progress	4	27
No – this is not currently in progress	9	60
Don't know	1	7
Total	15	100

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked how confident they were that commissioning arrangements for the 0-5 Healthy Child Programme would be fully operational in their council from 1 October 2015. All councils said they were very or fairly confident that the arrangements would be fully operational.

**Table 8: How confident are you that commissioning arrangements for the 0-5 Healthy Child Programme will be fully operational in your council from 1<sup>st</sup> October 2015?**

	Number	Per cent
<b>Very or fairly confident</b>	15	100
Very confident	11	73
Fairly confident	4	27
Not very confident	0	0
Not at all confident	0	0
Don't know	0	0
Total	15	100

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked how confident they were that their council has appropriate governance arrangements in place to oversee the transition. All councils said they were very or fairly confident that the arrangements were in place.

**Table 9: How confident are you that your council has appropriate governance arrangements in place to oversee the transition?**

	Number	Per cent
<b>Very or fairly confident</b>	15	100
Very confident	13	87
Fairly confident	2	13
Not very confident	0	0
Not at all confident	0	0
Don't know	0	0
Total	15	100

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked if their Health and Wellbeing board had discussed the transfer. Twenty-seven per cent (four councils) said they had discussed the transfer but seven councils (47 per cent) said this was scheduled for a future meeting.

**Table 10: Has your Health and Wellbeing Board discussed the transfer?**

	Number	Per cent
Yes	4	27
No – but this is scheduled for a future meeting	7	47
No – this discussion is not currently scheduled	4	27
Don't know	0	0
Total	15	100

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked to indicate which, if any, people or groups they would share their self-assessment with. All councils stated that they would share the self-assessment with the director of children’s services and all but one (14 councils) stated they would share the self-assessment with the director of public health.

The ROG highlighted that the low response rate given to the question about if the self-assessment would be shared with the Health and Wellbeing Board (HWB) could be seen as a reflection of the different ways HWBs operate.

<b>Table 11: Please indicate which, if any, of the following people and groups you will share this self-assessment with:</b>		
	<b>Number</b>	<b>Per cent</b>
Director of children’s services	15	100
Director of public health	14	93
Lead member children’s services	10	67
Portfolio holder for health	9	60
Health and wellbeing board	7	47
Relevant scrutiny chair	7	47
None of the above	0	0
Don’t know	0	0

Note: percentages total to more than 100 per cent, since respondents could select more than one person or group.

Respondents were asked to what extent their council had worked with NHS England (previously called Area Teams), as part of commissioning discussions, to agree commissioning intentions for existing contract(s) that were transferring over to their council. All councils said that they had done so to a great or moderate extent.

<b>Table 12: As part of commissioning discussions, to what extent has your council worked with NHS England (previously called Area Teams) to agree commissioning intentions for existing contract(s) that are transferring over to your council?</b>		
	<b>Number</b>	<b>Per cent</b>
<b>To a great or moderate extent</b>	15	100
To a great extent	10	67
To a moderate extent	5	33
To a small extent	0	0
Not at all	0	0
Don’t know	0	0
Total	15	100

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked to indicate which option for the transfer of contracts from NHS England to councils for contracts that expired at 31 March 2015 their council had taken. All but one respondent cent (14 councils) said they would novate contract(s) from NHS England to their council.

<b>Table 13: Which of the following has your council opted to do?</b>		
	<b>Number</b>	<b>Per cent</b>
Novate contract(s) from NHS England to your council	14	93
Put in place two similar but separate 6 month contract(s) with the provider. (This option includes one contract for April to September 2015 between NHS England and the provider and another for October 2015 to March 2016 between the local authority and the provider)	1	7
Not applicable	0	0
Don't know	0	0
<b>Total</b>	<b>15</b>	<b>100</b>

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked if any of the NHS England contracts that required transferring to their council ran beyond 30 September 2015. Fifty-three per cent (eight councils) said that contracts ran beyond 30 September 2015.

<b>Table 14: Do any of the NHS England contracts that require transferring to your council run beyond 30 September 2015?</b>		
	<b>Number</b>	<b>Per cent</b>
Yes	8	53
No	7	47
Don't know	0	0
<b>Total</b>	<b>15</b>	<b>100</b>

Base: (all respondents in Yorkshire and Humber): 15

Those respondents who specified that they did have contracts that ran beyond 30 September 2015 were then asked if their council intended in principle to accept novation of these contracts from NHS England to local authority control with effect from 1 October 2015. Of those respondents, all eight said that they intended to accept novation.

<b>Table 15: Does your council intend, in principle, to accept novation of these contracts from NHS England to local authority control with effect from 1 October 2015?</b>		
	<b>Number</b>	<b>Per cent</b>
Yes, we intend to accept novation	8	100
No, we don't intend to accept novation	0	0
Don't know	0	0
<b>Total</b>	<b>8</b>	<b>100</b>

Base (all respondents in Yorkshire and Humber who had contracts that ran beyond 30 September 2015):

Respondents were asked if their council had communicated its intended approach to contract transition to the current children's 0-5 years public health service provider(s), all said they had done so.

**Table 16: Has your council communicated its intended approach to contract transition to the current children's 0-5 years public health service provider(s)?**

	Number	Per cent
Yes	15	100
No – but plans for this are being developed	0	0
No- plans for this have not yet been developed	0	0
Don't know	0	0
Total	15	100

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked if their council had put plans in place for minimum standardised a) data collection and b) reporting requirements that support the effective commissioning of children's 0-5 years public health services. Forty per cent of respondents (six councils) said that they had put plans in place for minimum standardised data collection, but all but one of the remaining councils said plans were in development. Forty per cent of respondents (six councils) had put plans in place for reporting requirements that support the effective commissioning of children's 0-5 years public health services, but seven of the remaining councils said plans were in development.

**Table 17: Has your council put plans in place for minimum standardised a) data collection and b) reporting requirements that support the effective commissioning of children's 0-5 years public health services?**

	a) data collection		b) reporting requirements	
	Number	Per cent	Number	Per cent
Yes	6	40	6	40
No – but plans are in development	8	53	7	47
No – plans for this have not yet been developed	1	7	1	7
Don't know	0	0	1	7
Total	15	100	15	100

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked how confident they were that the provider(s) from which their council will commission services are taking steps to:

- a) Improve their local management of child health information, making use of Child Health Information Systems (CHIS) and other IT systems where appropriate.
- b) Achieve compliance with the national Maternity and Children's Dataset in order to be able to submit a standard set of data to the Health and Social Care Information Centre from where national and local benchmarked reporting of Key Performance Indicators will flow in the longer term.

Sixty seven per cent (10 councils) were either very or fairly confident that the provider(s) are taking steps to improve their local management of child health information, making use of CHIS and other IT systems where appropriate. Seventy three per cent (11 councils) were either very or fairly confident that the provider(s) are taking steps to achieve compliance.



**Table 18: How confident are you that the provider(s) from which your council will commission services are taking steps to: a) Improve their local management of child health information systems (CHIS) and other IT systems where appropriate b) Achieve compliance with the national Maternity and Children's Dataset in order to be able to submit a standard set of data to the Health and Social Care Information Centre from where national and local benchmarked reporting of Key Performance Indicators will flow in the longer term?**

	a) Improve CHIS and IT systems		b) Achieve compliance	
	Number	Per cent	Number	Per cent
<b>Very or fairly confident</b>	10	67	11	73
Very confident	1	7	1	7
Fairly confident	9	60	10	67
Not very confident	1	7	1	7
Not at all confident	1	7	1	7
Don't know	3	20	2	13
Total	15	100	15	100

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked how confident they were that existing contract(s) include the five universal checks and the related children's 0-5 year's public health outcomes. Eighty seven per cent (13 councils) were either very or fairly confident.

**Table 19: How confident are you that existing contract(s) include the five mandated universal checks and the related children's 0-5 year's public health outcomes?**

	Number	Per cent
<b>Very or fairly confident</b>	13	87
Very confident	10	67
Fairly confident	3	20
Not very confident	1	7
Not at all confident	0	0
Don't know	1	7
Total	15	100

Base (all respondents Yorkshire and Humber): 15

Respondents were asked how confident they were that baseline data about their resident population for the five mandated universal checks was being shared with them prior to 1 October 2015, against which council performance could be measured in the future. Eighty per cent (12 councils) were either very or fairly confident.

**Table 20: How confident are you that baseline data about your resident population for the five mandated universal checks is being shared with you prior to 1 October 2015, against which council performance can be measured in the future?**

	Number	Per cent
<b>Very or fairly confident</b>	12	80
Very confident	6	40
Fairly confident	6	40
Not very confident	2	13
Not at all confident	0	0
Don't know	1	7
Total	15	100

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked how they would rate the usefulness of the information that they have received about the transfer. All respondents rated the information that they had received as very or fairly useful.

**Table 21: How would you rate the usefulness of the information you have received about the transfer?**

	Number	Per cent
<b>Very or fairly useful</b>	15	100
Very useful	5	33
Fairly useful	10	67
Not very useful	0	0
Not at all useful	0	0
Don't know	0	0
Total	15	100

Base (all respondents in Yorkshire and Humber): 15

Respondents were asked to identify which communication routes they normally used to receive information and which they found useful. The highest number of respondents (93 per cent / 14 councils) received information through LGA bulletins and the Department of Health website. Eighty seven per cent (13 councils) found the Department of Health website the useful.

**Table 22: Which of the following communication routes do you normally a) receive information through b) find useful?**

	Receive information through		Find useful?	
	Number	Per cent	Number	Per cent
LGA bulletins	14	93	12	80
Department of Health website	14	93	13	87
Bulletins from the professional associations	11	73	10	67
LGA website	9	60	9	60
Regional oversight groups	9	60	7	47
None of the above	0	0	0	0
Don't know	0	0	0	0

Note: percentages total to more than 100 per cent, since respondents could select more than one communication route.

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